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1 DOIGH, 1D 0102	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	300.00	DA C	Gaura B. Bunkee		(Signature)		
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APPLICATION NO. FILING DATE			FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/738,618 TILE OF INVENTION: (12/15/2000 COMPENSATORY R	ATIO HEDGING	Mark A. Henninger		00-416	2172		
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE		
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/15/2009		
EXAMIN	IER	ART UNIT	CLASS-SUBCLASS]				
HAMILTON, I	ALITA M	3691	705-037000					
Address form PTO/SB/ "Fee Address" indicated PTO/SB/47; Rev 03-02 Number is required. ASSIGNEE NAME AND PLEASE NOTE: Unless recordation as set forth in (A) NAME OF ASSIGNATE Caterpillar Please check the appropriate Please check the P	idence address (or Charles) attached. ation (or "Fee Address or more recent) attached. D RESIDENCE DATA is an assignee is idented in 37 CFR 3.11. Compose The Lanc Lance category of the assignee category of	Indication form hed. Use of a Customer A TO BE PRINTED ON tified below, no assignee pletion of this form is NO	(B) RESIDENCE: (CITY Peoria, IL rinted on the patent):	o 3 registered patent vely, le firm (having as a agent) and the name orneys or agents. If no printed. pe) latent. If an assigned assignment. If and STATE OR Collins of the collins of th	member a 2	document has been filed for		
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Change in Entity Statu	•		b. Applicant is no lor	ger claiming SMAL	L ENTITY status. See 37 C	FR 1.27(g)(2).		
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Authorized Signature _	St 6	148	· · · · · · · · · · · · · · · · · · ·	Date /-/	15-09			
Typed or printed name	Steven G. R	libby /		Registration No	o. <u>35,215</u>			
This collection of information application. Confidential ubmitting the completed this form and/or suggestion 30x 1450, Alexandria, Virginia 22313	ion is required by 37 (ality is governed by 35 application form to the s for reducing this buginia 22313-1450. DO 3-1450.	CFR 1.311. The information of U.S.C. 122 and 37 CFR e USPTO. Time will varied and should be sent to the NOT SEND FEES OR	1.14. This collection is esty depending upon the indi- ne Chief Information Offic COMPLETED FORMS T	timated to take 12 m vidual case. Any con er, U.S. Patent and 1 O THIS ADDRESS.	unutes to complete, including memories on the amount of the	nd by the USPTO to process) ing gathering, preparing, and ime you require to complete partment of Commerce, P.O. for Patents, P.O. Box 1450,		

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APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/738,618 12/15/2000			Mark A. Henninger	ger 00-416		2172	
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APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE	
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EXAM	IINER	ART UNIT	CLASS-SUBCLASS	J			
HAMILTON	, LALITA M	3691	705-037000				
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Number is required Accordation Statem A PLEASE NOTE: Un	less an assignee is ident	· TO DE BRIDGE CO.	listed, no name will be	printed atent. If an assigned	e is identified below, the de	ocument has been filed for	
(A) NAME OF ASSIGNEE			(B) RESIDENCE: (CITY and STATE OR COUNTRY)				
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Authorized Signature	3/2° 13	1229		Date /-/	5-21-3		
Typed or printed name	Steven G. K	libby /		Registration No	35,215		
an application. Confident submitting the complete this turn and/or suggest Box 1450, Alexandria, \ Alexandria, Virginia 223	d application form to the ious for reducing this bu Virginia 22313-1450. DC 313-1450.	O'ECT 129 and 47 Cibes O'UEPTO. Time will very Nical, should be sent to the O'NOT SEND FEES OR	y depending upon the individual control of the control of the complete comp	ridual case. Any con er, U.S. Putent and T O THIS ADDRESS.	o public which is to file (and imited to complete, including the smount of time and the smount of time selection of the selec	for Patents, P.O. Box 1450,	